SBI China Capital
軟庫中華

SBI China Capital Financial Services Limited
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Fees and Charges Schedule 服務收費簡介

Hong Kong Securities: Trade Related 本地證券：交易			
Items 項目	**Services Charges 收費**	**Min 最低**	**Max 最高**
Streaming Services 串流報價	Monthly 每月 $273	HK$273	---
Brokerage Fee 經紀佣金	0.25% of Transaction Amount 成交金額之 0.25%	HK$50	---
Trading Fee (Collected by HKEx) 交易費 (由港交所收取)	0.00565% of Transaction Amount 成交金額之 0.00565% *2023 年 1 月 1 日生效	---	---
Transaction Levy (collected by SFC) 交易徵費 (由證監會收取)	0.0027% of Transaction Amount 成交金額之 0.0027%	---	---
CCASS Fee 中央結算系統收費	0.0042% of Transaction Amount 成交金額之 0.0042%	---	---
Stamp Duty (collected by Government of the HKSAR) 印花稅 (由香港特區政府收取)	0.1% of Transaction Amount 成交金額之 0.1%	HK$1	---
AFRC Transaction Levy 會計及財務匯報局交易徵費	0.00015% of Transaction Amount 成交金額之 0.00015%	---	---
Hong Kong Securities: Settlement & Custody Related 本地證券：交收及託管			
Physical Scrip Deposit 實物股票存入			
1) Transactional dematerialisation fee 交易性去實物化費	HK$5 per certificate 每張實物股票 HK$5	HK$20	---
2) Transfer and registration fee 轉讓及登記費	0.04% of Market Value 市值之 0.04%	HK$20	---
Physical Scrip Withdrawal 實物股票提取	0.25% of Market Value 市值之 0.25%	HK$100	---
Receive via CCASS (SI/ISI/USI) 經 CCASS (SI/ISI/USI) 存入	Free of charge 免費	---	---
Delivery via CCASS (SI/ISI/USI) 經 CCASS (SI/ISI/USI) 提取	0.25% of Market Value 市值之 0.25% * Market Value is determined by closing price of last trading date 市值以上日收市價計算	HK$100	---
Stock Custody Fee 股份托管費	Annual rate 0.0125% 年費率 0.0125% * Charge every 6 months 每半年收取一次	HK$50	---
IPO Subscription 新股認購費	HK$100 Per Subscription 每次 HK$100	---	---
Collection of Dividends 代收股息費	0.5% of Dividend Amount 股息金額之 0.5% (Depending on Currency)	HK$20	HK$10,000
Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約	HK$5 Per Lot 每手 HK$5	HK$30	HK$100
Rights Subscription/Offer Exercise 行使供股權/認購權	Handling Fee HK$100 and HK$1 Per Lot 手續費 HK$100 及 每手 HK$1	---	---
Voting 投票	HK$100 Per Stock 每隻股票 HK$100	---	---

Shanghai-Hong Kong Stock Connect / Shenzhen-Hong Kong Connect: Trade Related 滬港通 / 深港通證券：交易

Items 項目	Services Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金	0.25% of Transaction Amount 成交金額之 0.25%	CNY100	---
Handling Fee (Collected by SSE/SZS) 經手費 (由上海證券交易所/深圳證券交易所收取)	0.00341% of Transaction Amount 成交金額之 0.00341%	---	---
Transfer Fee 過戶費 (Collected by ChinaClear Shanghai/ChinaClear Shenzhen) (由中國結算上海/中國結算深圳收取)	0.001% of Transaction Amount 成交金額之 0.001%	---	---
Securities Management Fee (Collected by CSRC) 證管費 (由中國證監會收取)	0.002% of Transaction Amount 成交金額之 0.002%	---	---
Transfer Fee (Collected by HKSCC) 過戶費 (由香港結算收取)	0.002% of Transaction Amount 成交金額之 0.002%	---	---
Stamp Duty 交易印花稅	0.05% of Transaction Amount on the seller 賣方成交金額之 0.05%	---	---
Portfolio Fee (accrue daily and collect in monthly basis) 投資組合費 (每日計算，按月收取)	Daily stock portfolio value × 0.008% ÷ 365 持有股值 × 0.008% ÷ 365 日	---	---

Settlement & Custody Related: ZH-HK Stock Connect / SZ-HK Connect 滬港通 / 深港通證券：交收及託管

Receive through CCASS 經中央結算系統存入	Free 免費	---	---
Delivery through CCASS 經中央結算系統提取	0.0045% of the Market Value 市值之 0.0045% * Market Value is determined by closing price of last trading date 市值以上日收市價計算	CNY32	CNY400
Investor Account receive through CCASS 投資者戶口存入	Free 免費	---	---
Investor Account receive through CCASS 投資者戶口提取	0.0045% of the Market Value 市值之 0.0045% * Market Value is determined by closing price of last trading date 市值以上日收市價計算	CNY30	CNY300
Collection of Dividends 代收股息費	0.5% of Dividend Amount 股息金額之 0.5%	CNY20	---
Collection of Bonus Shares/Rights/Offer 代收紅股/供股權/要約	CNY5 Per Lot 每手 CNY5	CNY10	
Rights Subscription/Offer Exercise 行使供股權/認購權	Handling Fee CNY100, and CNY1 Per Lot 手續費 CNY100 及 每手 CNY1	---	---

US Securities: Trade Related 美國證券：交易

Items 項目	Services Charges 收費	Min 最低	Max 最高
Brokerage Fee 經紀佣金	US$0.013/per share 每股 0.013 美元	US$2	---
SEC Fee 美國證監費	0.00206% of transaction amount 成交金額之 0.00206% * Applicable to sell transaction only 只適用於沽盤	US$0.01	---
Clearing Fee 結算費	US$0.003 Per Share 每股 0.003 美元	US$0.50	
Transaction Activity Fee 交易活動費	每股 0.000195 美元 US$0.000195 per share * Applicable to sell transaction only 只適用於沽盤	US$0.01	---
ADR Fee 預託證券費 (collected by issuers of the ADR 由預託證券發行商收取)	USD0.01~0.05 per share 每股 USD0.01~0.05 * Charge in book closure period 於截止過戶期間收取	---	---

US Securities: Settlement & Custody Related 美國證券：交收及託管			
Collection of Dividends 代收股息費	5% of dividend amount 股息金額之 5%	US$20	---
Voting 投票	US$130 Per Stock 每隻股票 US$130	US$130	---
Share Withdrawal Fee 股票轉出費用	US$0.06 Each Share 每股為 US$0.06	US$150	---
Withholding Tax 預扣所得稅 (collected by IRS US 由美國國家稅務局收取)	30% of dividend amount 股息金額的 30%	---	---

CA Securities: Trade Related 加拿大證券：交易			
Items 項目	**Services Charges 收費**	**Min 最低**	**Max 最高**
Brokerage Fee 經紀佣金	1.2% of transaction amount 成交金額之 1.2%	CAD20	---
Stock Transfer Fee 轉貨費	CAD70 Per stock	CAD70	---
Physical Stock Withdrawal Fee 實物提貨費	CAD70 Per stock	CAD70	

MY Securities: Trade Related 馬來西亞證券：交易			
Items 項目	**Services Charges 收費**	**Min 最低**	**Max 最高**
Brokerage Fee 經紀佣金	0.25% of transaction amount 成交金額之 0.25%	MYR 70	---

SG Securities: Trade Related 新加坡證券：交易			
Items 項目	**Services Charges 收費**	**Min 最低**	**Max 最高**
Brokerage Fee 經紀佣金	0.25% of transaction amount 成交金額之 0.25%	SG$20	---
Collection of Dividends 代收股息費	3% of dividend amount 股息金額之 3%	US$10/SG$10	---
Voting 投票	US$100 Per Stock 每隻股票 US$100	US$100	---

Bond Custody Related 定息債券託管			
Custody Fee 托管費	Monthly 每月 US$20	---	---

Cash Withdrawal & Other Services 現金提款及其他服務	
Items 項目	**Services Charges 收費**
Cheque Withdrawal 支票提款	Free 免費
Telegraphic Transfer (T/T) 電匯	HK$650
Withdrawal by Clearing House Automated Transfer System (CHATS) 以 CHATS 方式提款	HK$400
Charges on Late Payment 逾期付款費用	Banks Prime Rate + 8% and Handling Fee of 8% per annum 銀行最優惠利率 + 8%及年利率 8%手續費
Bounced Cheque 退票	HK$100 per cheque 每張退票收取 HK$100 手續費
Audit Confirmation 核數證明	HK$200
Statement Copy Retrieval 重發月結單	Past 1 Year 過去一年內: HK$50/Copy Past 2 to 3 Years 過去一年至三年: HK$100/Copy Past 4 to 6 Years 過去三年至六年: HK$500/Copy

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